2011 GRADUATE STUDENT RESEARCHERS PROGRAM (GSRP)

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2011 GRADUATE STUDENT RESEARCHERS PROGRAM (GSRP)

INTRODUCTION

This Announcement of Opportunity and the corresponding Web site http://fellowships.hq.nasa.gov/gsrp/nav provide information and updates about the Graduate Student Researchers Program (GSRP) eligibility and the application process.

Inquiries

Questions regarding the GSRP application process should be directed to the Center GSRP Coordinator. Contact information for the 10 NASA Center GSRP Coordinators is listed on the GSRP Web site http://fellowships.hq.nasa.gov/gsrp/program/ under "contacts."

Important Program Dates

Announcement of Opportunity Release Date: November 1, 2010 Application Deadline: February 1, 2011

Application Review Period: February 8 – March 28, 2011

Award Announcements: April 4, 2011 Acceptance Deadline: April 11, 2011

• 2011 **Renewals**

GSRP PROGRAM DESCRIPTION

The NASA Graduate Student Researchers Program (GSRP) is an Agency-wide fellowship program (also called GSRP Training Grants in what follows) for graduate study leading to masters or doctoral degrees in the fields of science, mathematics, and engineering related to NASA research and development. In 2011, NASA is expanding GSRP to include students that are interested in becoming teachers or education administrators. This twelve month award requires students to participate in a 10-week NASA Headquarters or Center-based research experience at HQ or Center extending the GSRP Fellowship.

The goal of NASA's GSRP is to cultivate additional research ties to the academic community, to help meet the continuing needs of the Nation's aeronautics and space workforce requirements by increasing the number of highly trained scientists and engineers in aeronautics and space-related disciplines, and to meet the Nation's need to increase the number of highly trained teachers.

The program will support approximately 140 graduate students annually. NASA scientists and engineers evaluate applications on the basis of academic transcripts, research proposals, faculty advisor recommendations, and the proposed utilization of NASA center or university research facilities.

Mentoring and research experiences are important aspects of the GSRP Fellowship. Centers may host students any time during the twelve month award period; however, the schedule may vary depending on each Center's capacity. Recipients of the GSRP Training Grant must coordinate the schedule for the center-based research experience with the center mentor and the center GSRP Coordinator. Students will not receive additional funds for time spent at the center.

Eligibility Requirements

- Applicants must be U.S. citizens (permanent residents are not eligible).
- Students may apply at any time during their graduate program, or prior to receiving their baccalaureate degree, provided they have been accepted to an accredited graduate program at a U.S. college or university.
- All applicants must have a University Faculty Research Advisor (who will be the Principal Investigator of the GSRP Training Grant) from the institution where they plan to receive their graduate degree.
- Graduating seniors accepted by a graduate school must contact their graduate school department and request an advisor to support the NASA research funded under the GSRP.

Identification of a University Faculty Research Advisor is important. Although awards are made to the university, the University Faculty Research Advisor provides the research support and guidance for the successful completion of the degree program.

Identification of a NASA center mentor is imperative. The NASA center must approve the research topic for relevance to the Agency's research and development requirements.

Individuals accepting this award <u>may not</u> concurrently receive other Federal fellowships or traineeships. The exception to this policy is Section 178(a) of Title 38, U.S. Code, which allows a student to receive concurrent educational benefits from the Department of Veterans Affairs. Underrepresented groups in science, technology, engineering, and mathematics fields (STEM) are encouraged to apply to GSRP.

REPORTING REQUIREMENTS

All reports requested in accordance with the GSRP program requirements are vital to program management and evaluation. It is the responsibility of the University Faculty Research Advisor (Principal Investigator), the student, and the institution receiving a NASA GSRP award to ensure prompt submission of all required reports. A listing of interim and final reports is included on the official Training Grant sent to the student upon issuance of the award. A summary of these reports is provided below:

INTERIM REPORTS

Required Reports Action Required By:

Quarterly Federal Cash Transactions Report (SF272) required within 15 working days following the end of each quarter of the Federal fiscal year for all Grants and Cooperative Agreements (Ref. 1260.26). Submit to Financial Management Officer (FMO). Address will be on the Training Grant.

University Sponsored Research Office

Progress Reports (GSRP requires an annual progress report as part of the annual renewal of the GSRP award). Submit with annual GSRP renewal proposal.

Student & PI

Notification of Decision to Forego Patent Protection (Required for all Grants and Cooperative Agreements). Submit as applicable, not less than 30 days before the expiration of the response period required by the relevant patent office - (Ref. 1260.28).

Student & PI

Election of Title to a Subject Invention

Student & PI (Required for all Grants and Cooperative Agreements). Submit within 2 years of disclosure of a subject

invention being elected, except in any case where publication on sale or public use of the subject invention being elected has initiated the one year statutory period wherein valid patent protection can still be obtained in the United States, at least 60 days prior to the end of the statutory period - (Ref. 1260.28 and 1260.57). Submit to Project Officer/Program Manager and Grants Officer.

FINAL REPORTS

Action Required By:

Properly Certified Final Federal Cash Transaction Report(SF272) – Required for all Grants and Cooperative Agreements. Submit within 90 days after the expiration date of the Grant/Cooperative Agreement - (Ref. 1260.26). Submit to Project Officer And Grants Officer.

University Sponsored Research Office

Summary of Research

Required Reports

(Required for GSRP Training Grants). Submit within 90 Days after the expiration date of the Grant/Cooperative Agreement – (Ref. 1260.22). Submit to Center GSRP Coordinator.

Student

2011 GSRP RENEWAL APPLICATION GUIDELINES

- GSRP <u>Renewal</u> applicants must apply through the GSRP Web site as in previous years. Nothing has changed for renewal applicants.
- New GSRP applicants must apply online to NASA's new SOLAR system: http://intern.nasa.gov

Renewal Data Form must be completed online and includes the following components:

- 1. ABSTRACT: Proposal abstracts should concisely summarize the proposed research and its relationship to the NASA mission. The abstract should not exceed 100 words in length. The abstract is in addition to the 5-page proposal.
- 2. BUDGET FIGURES: Amount and duration: Fellowships are awarded for one year as training grants in the amount of \$30,000. This amount includes:

Student Allowance: \$27,000 Student Stipend: \$20,000 Student Travel Allowance: \$6,000

Student Health Insurance: \$1,000 (add to student stipend if not needed)

University Allowance: \$3,000 (paid to Faculty Research Advisor)

• PI Travel

• At faculty advisor's discretion, may be given to the student

Awards are renewable for 1 year for a master degree; 2 years for Ph.D, with maximum of three years funding. The NASA Program Manager and the NASA Center Technical Research Advisor must approve renewals based on satisfactory academic advancement, research progress, and available funding. Students are required to submit an annual application for renewal by the posted deadline on the GSRP Web site. The deadline for 2011 GSRP Renewal Application is 5 PM, EST, February 1, 2011.

Allowable Expenses: The \$20,000 student stipend may cover tuition, room and board, books, software, meal plans, school related laboratory supplies, and other related expenses. The \$6,000 student allowance may be used to travel and participate in a 10-week NASA Center-based research experience. NASA recognizes the need for adequate health insurance, and has included an allowance not to exceed \$1,000 to assist with this cost. In cases where students already have health insurance, the \$1,000 may be added to the stipend or to student travel. \$3,000 is a discretionary award made to the university via the faculty research advisor, who becomes the Principal Investigator for the GSRP Training Grant. The NASA Program Manager must approve alternative uses of GSRP funding. The GSRP Fellowship supports graduate education, and does not provide university overhead. GSRP grant funds may not be used for the purchase of any equipment, including computers.

3. ANTICIPATED USE OF CENTER OR UNIVERSITY FACILITIES AND

RESOURCES: All students must indicate the NASA or university facilities and resources to be used in support of the research, including an estimate of any computer time required. Students are strongly encouraged to contact the appropriate NASA Center Technical Research Advisor listed for the proposed research area or the University Faculty Research Advisor to coordinate these activities.

- 4. <u>Upload</u> **RESEARCH PROGRESS REPORT:** A report that is authored by the GSRP fellow discussing the status of the research must be provided for fellowship renewal. This report must describe the status of the GSRP funded research during the previous year's support. The report should indicate future research plans to be supported with renewal funding. This statement must contain the University Faculty Research Advisor's endorsement of progress report, and report should not exceed five pages in length.
- 5. <u>Mail</u> **OFFICIAL TRANSCRIPTS:** Renewal GSRP fellows are required to submit an official transcript that lists all courses taken since the previously submitted application.

6. Mail UNIVERSITY FACULTY RESEARCH ADVISOR'S ENDORSEMENT:

The University Faculty Research Advisor must provide a signed one-page letter of recommendation (endorsement) as part of the renewal application. The letter must include a statement indicating the level of assistance provided to the student in the preparation of the GSRP proposal.

7. <u>Mail</u> UNIVERSITY SIGNATURE FORM (see sample form below)

Full proposals will not be accepted without the 3 following required signatures:

- Student
- University Faculty Research Advisor
- Institutional Authorizing Official

(By signing, the authorizing official commits the university and confirms that the certification requirements have been met. Certifications of Compliance with Applicable Executive Orders and U.S. Code are listed below):

- (i) Privacy Act Statement
- (ii) Certification Regarding Debarment, Suspension, and Other Responsibility Matters
- (iii) Certification Regarding Drug-Free Workplace Requirements
- (iv) Certification Regarding Lobbying for Contracts, Grants, Loans
- (v) Assurance of Compliance with NASA Regulations Pursuant to Nondiscrimination in Federally Assisted Programs

Please see five certifications listed above at the end of this document.

SAMPLE 2011 GSRP Renewal Data Form

Last Name:	First Name M	II Birth Date				
Birth City/Town and State	Birtl	n Country				
Permanent Contact:	Departmental Contact:	Hours Completed:				
Termanent Contact.	Departmental Contact.	Masters Doctorate				
	Donoutmonts	Masters Doctorate				
C44-	Department:	CDA (40 Carla)				
Street:	Street:	GPA (4.0 Scale)				
~*·	~*·	Masters Doctorate				
City:	City:	Expected Graduation Date:				
	Masters Doctorate					
State:	State:					
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T WAY	1 4/11					
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Degree to be supported by this aw	vard (check one): MS ()	PhD ()				
Academic Major:						
Colleges or Ur	niversities Attended (list current in	nstitution first)				
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institution Location	Dates Attended	Degree Major				
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	Applicant Background					
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NASA Centers	Ames (ARC)		Dryden (DFRC)		Glenn (GRC)		Goddard (GSFC)	Jet Propulsion (JPL)
	Johnson (JSC)		Kennedy (KSC)		Langley LaRC)		Marshall (MSFC)	Stennis (SSC)

SAMPLE University Signature Form

Fellow:	Faculty Advisor:	Institution Authorizing			
	2 40010, 11411501	Official:			
Name:	Name:	Name:			
Major:	Dept:	Title:			
Street:	Street:	Street:			
City:	City:	City:			
State:	State:	State:			
Zip:	Zip:	Zip:			
Phone:	Phone:	Phone:			
Email:	Email:	Email:			
in this application are true author of the proposal sub composed in accordance v	and complete to the best of my know	is made. I certify that the statements made rledge. I also certify that I am the principal owship Announcement and that it was			
Date:					
Faculty Advisor Certific	ation:				
	amed above is the principal author of incement and that it was composed in	the proposal submitted in response to the accordance with the policies of this			
Date:					
University Authorizing (Official Cartification:				
	n of Compliance with Applicable Ex	ecutive Orders and U.S. Code			
sheet in response to the re official of the proposing if • Certifies that the knowledge; • Agrees to accept award is made as • Confirms compli contained in this Other Responsible Free Workplace I Lobbying for Con Compliance with	quest for a proposal under the NASA astitution, as identified below: statements made in this proposal are the obligations to comply with the Na a result of this proposal; and ance with all provisions, rules, and st solicitation, namely: (1) Certification lity Matters Primarily Covered Trans Requirements Grantees Other Than Intracts, Grants, Loans, and Cooperati	A Fellowship Application/Proposal cover Fellowship Program, the authorizing true and complete to the best of his/her ASA award terms and conditions if an ipulations set forth in the four certifications Regarding Debarment, Suspension, and actions; (2) Certification Regarding Drug adviduals; and (3) Certification Regarding we Agreements; and (4) Assurance of Administration Regulations Pursuant to			
University Authorizing (Date:	Official:				

REQUIRED CERTIFICATIONS

Certification of Compliance with Applicable Executive Orders and U.S. Code

The following supplements are the full text of certifications related to NASA grant awards. Please read the certifications carefully. By signing and submitting the proposal identified in the NASA Fellowship Application/Proposal cover sheet, in response to the request for a proposal under the NASA Fellowship Program, the Authorizing Official of the proposing institution, as identified below:

- 1. Certifies that the statements made in this proposal are true and complete to the best of his/her knowledge;
- 2. Agrees to accept the obligations to comply with NASA award terms and conditions if an award is made as a result of this proposal.

Privacy Act Statement

General

Pursuant to Public Law 93-579, Privacy Act of 1974, as amended (5U.S.C.552a), the following information is being provided to persons who are asked to provide information to obtain a NASA Graduate Fellowship.

Authority

This information is collected under the authority of the National Aeronautics and Space Act, Publication 85-568, as amended, 42 U.S.C.2451, et seq.

Purposes and Uses

This information requested on the application form will be used to determine your eligibility for participation in the NASA Fellowship Program. The information requested regarding your ethnic/racial/disability status will be used to determine the degree to which members of each ethnic/racial/disability group are being reached by NASA's announcement of this program, and will not affect your application. Additionally, NASA may disclose this information to other organizations, and other government agencies, as well as Congressional offices in response to an inquiry made on your behalf. Disclosure may also be made to concerned parties in the course of litigation, to law enforcement agencies, and to other Federal agencies in exchanging information pertinent to an agency decision.

Effects of Nondisclosure

Furnishing the information on the application form is voluntary, but failure to do so may result in NASA's inability to determine eligibility for participation and selection for award in the NASA Fellowship Program. However, your application will not be affected if you choose not to provide information on your ethnic, racial, or disability status.

Definitions for Applicant Background

- American Native or Alaskan American: A person having origins in any of the original peoples of North America and who maintains cultural identification through tribal affiliation or community recognition.
- Hispanic: A person of Mexican, Puerto Rican, Cuban, or South American or other Spanish culture or origin, regardless of race.
- Asian: A person having origins in any of the original peoples of East Asia, Southeast Asia or the Indian subcontinent. This area includes, for example, China, India, Indonesia, Japan, Korea, and Vietnam.
- Pacific Islander: A person having origins in any of the original peoples of Hawaii; the U.S. Pacific territories of Guam, American Samoa, and the Northern Marinas; the U.S. Trust Territory of Palau; the islands of Micronesia and Melanesia; or the Philippines.
- African American, not of Hispanic origin: A person having origins in any of the black racial groups of Africa.
- White, not of Hispanic Origin: A person having origins in any of the original peoples of Europe, North Africa, or the Middle East.
- Individual with Disabilities: An individual having a physical or mental impairment that substantially limits one or more major life activities; who has a record of such impairment; or who is regarded as having such impairment.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transactions

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 34 CFR Part 85, Section 85.510, Participant's responsibilities. The regulations were published as Part VII of the May 26, 1988 Federal Register (pages 19160 – 19211). Copies of the regulation may be obtained by contacting the U.S. Department of Education, Grants and Contracts Service, 400 Maryland Avenue, SW (Room 3633 GSA Regional Office Building No. 3), Washington, DC 20202-4725, telephone (202) 732-2505.

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
 - (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department of agency;
 - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or Local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery,

- bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or Local) with commission of any of the offenses enumerated in paragraph (1) (b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or Local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Certification Regarding Drug-Free Workplace Requirements Grantees Other Than Individuals

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988, 34 CFR Part 85, Subpart F. The regulations, published in the January 31, 1989 Federal Register require certification by grantees, prior to award, that they will maintain a drug-free workplace. The certification set out below is a material representation of fact upon which reliance will be placed when the agency determines to award the grant. False certification or violation of the certification shall be grounds for suspension of payments, suspension or termination of grants, or government wide suspension or debarment (see 34 CFR Part 85, Sections 85.615 and 85.620). This grantee certifies that it will provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing a drug-free awareness program to inform employees about
 - (1) the dangers of drug abuse in the workplace;
 - (2) the grantee's policy of maintaining a drug-free workplace;
 - (3) any available drug counseling, rehabilitation, and employee assistance programs, and
 - (4) the penalties that may be imposed upon employees for drug abuse violations In the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will (1) Abide by the

- terms of the statement; and (2) Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction:
- (e) Notifying the agency within ten days after receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted;
- (f) Taking one of the following actions, within 30 days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted;
 - (1) Taking appropriate personnel action against such an employee, up to and including termination; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or Local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraph (a), (b), (c), (e), and (f).

Certification Regarding Lobbying for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on the behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of and Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer of employee of Congress, or an employee of a Member of Congress in connection with the Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certificate shall be subject to civil penalty of not less than \$10,000, and not more than \$100,000 for each such failure.

Assurance of Compliance with the National Aeronautics and Space Administration Regulations Pursuant to Nondiscrimination in Federally Assisted Programs

The Institution, corporation, firm, or other organization on who behalf this assurance is signed, hereinafter called "Applicant" HEREBY AGREES THAT it will comply with the Title VI of the Civil Rights Act of 1964 (PL88-352), Title IX of the Education Amendments of 1962 (20 U.S.C. 1680 et seq.), Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), and the Age Discrimination Act of 1975 (42 U.S.C. 16101 et seq), and all requirements imposed by or pursuant to the Regulation of the National Aeronautics and Space Administration (14 CFR Part 1250) (hereinafter called "NASA") issued pursuant to these laws, to the end that in accordance with these laws and regulations, no person in the United States shall, on the basis of race, color, national origin, sex, handicapped condition, or age be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Applicant receives federal financial assistance from NASA; and HEREBY GIVE ASSURANCE THAT it will immediately take any measure necessary to effectuate this agreement.

If any real property or structure thereon is provided or improved with the aid of federal financial assistance extended to the Applicant by NASA, this assurance shall obligate the Applicant, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is so provided, this assurance shall obligate the Applicant for the period during which it retains ownership or possession of the property. In all other cases, this assurance shall obligate the Applicant for the period during which the federal financial assistance is extended to it by NASA.

THIS ASSURANCE is given in consideration of and for the purpose of obtaining any and all federal grants, loans, contracts, property, discounts, or other federal financial assistance extended after the date hereof to the Applicant by NASA, including installment payments after such date on account of applications for federal financial assistance which were approved before such date. The Applicant recognized and agrees that such federal financial assistance will be extended in reliance on the representations and agreements made in this assurance, and that the United States shall have the right to seek judicial enforcement of this assurance. This assurance is binding on the Applicant, its successors, transferees, and assignees, and the person or persons whose signatures appear below are authorized to sign on behalf of the Applicant.

NASA FORM 1206 AUG 97 PREVIOUS EDITIONS ARE OBSOLETE